



Attorney' Docket 20488/12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): von Horsten, *et al.*

EXAMINER: Chernyshev, Olga N.

SERIAL NO.: 10/014,291

ART UNIT: 1646

FILED: October 26, 2001

RECEIVED

APR 11 2003

TECH CENTER 1600/2900

**FOR: MODULATION OF CENTRAL NERVOUS SYSTEM (CNS) DIPEPTIDYL
PEPTIDASE IV (DPIV)-LIKE ACTIVITY FOR THE TREATMENT OF NEUROLOGICAL
AND NEUROPSYCHOLOGICAL DISORDERS**

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Box Non-Fee Amendment, Commissioner for Patents, Washington D.C. 20231 on:

By: Sandra Graves

Sandra Graves

Date: April 4, 2003

BOX NON-FEE AMENDMENT
Commissioner for Patents
U.S. Patent and Trademark Office
Washington D.C. 20231

RESPONSE TO RESTRICTION REQUIREMENT

Sir:

This is being filed in response to the Office Action mailed from the U. S. Patent and Trademark Office on March 4, 2003 in the above-identified application and for which a response is due on April 4, 2003. This response is believed to be timely filed. If, however, a petition for extension is required please consider this paper such a petition. Authorization is hereby given to charge Deposit Account No. 50-0369 in connection with any fees for extension of time that are necessary to permit entry of this response. Please amend the application as follows:



1646

| | | | |
|--|---------------------------------|---------------------------------|------------------------|
| AMENDMENT TRANSMITTAL LETTER (Small Entity) | | Docket No. 20488/12 | |
| Applicant(s): von Horsten et al. | | | |
| Serial No. 10/014,291 | Filing Date October 26, 2001 | Examiner Chernyshev, Olga N. | Group Art Unit 1646 |

Invention: MODULATION OF CENTRAL NERVOUS SYSTEM (CNS) DIPEPTIDYL PEPTIDASE IV (DPIV)-LIKE ACTIVITY FOR THE TREATMENT OF NEUROLOGICAL AND NEUROPSYCHOLOGICAL DISORDERS

RECEIVED

APR 11 2003

TECH CENTER 1600/2900

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

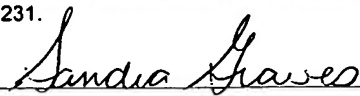
| CLAIMS AS AMENDED | | | | | |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
| TOTAL CLAIMS | 13 - | 20 = | 0 x | \$9.00 | \$0.00 |
| INDEP. CLAIMS | 2 - | 3 = | 0 x | \$42.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$0.00 |

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0369
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Dated: April 4, 2003

Signature

John C. Serio, Reg. No. 39,023
Brown Rudnick Berlack Israels LLP
One Financial Center, Floor 18, Box IP
Boston, MA 02111
Tel. 617-856-8238
Fax 617-856-8201
email: ip@brbilaw.com
Customer No. 21710

| |
|---|
| I certify that this document and fee is being deposited on April 4, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. |
|  |
| Signature of Person Mailing Correspondence |
| Sandra Graves |
| Typed or Printed Name of Person Mailing Correspondence |

CC: